

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC18 Rec'd PCT/PTO 03 JAN 2002

Applicant(s): Charles Neville GLEW

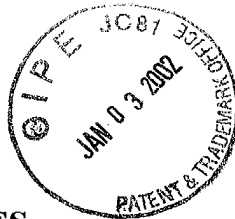
Attorney Docket No.: P/70017/Alstom

Application No.: 09/937,746

Filed: September 28, 2001

Group Art Unit:

Title: IMPROVEMENTS IN
ELECTRICAL MACHINES



#4

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

- ☒ Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.
- ☐ Sheets of Formal Drawings.
- ☒ Information Disclosure Statement; Form PTO-1449.
- ☒ Missing Parts Surcharge \$130.00.
- ☐ A Petition for Extension of Time for reply to Notice of Missing Parts is attached.
 - ☐ one month (\$110.00)
 - ☐ two months (\$400.00)
 - ☐ three months (\$920.00)
 - ☐ four months (\$1,440.00)

01/15/2002 UEDUWJE 00000045 09937746

01 FC:154

130.00 DP

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 3, 2002

Typed Name: Alan ISRAEL

Signature: _____

EXPRESS MAIL NO. EL 337911856US

Respectfully submitted,

By _____

Alan Israel

Attorney for Applicant(s)

Reg. No. 27564

Date: January 3, 2002

Telephone No.: 212-697-3750

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles Neville GLEW	Attorney Docket No.: P/70017/Alstom
Serial No.: 09/937,746	Group Art Unit:
Filed: September 28, 2001	Examiner: #3
Title: IMPROVEMENTS IN ELECTRICAL MACHINES	

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
- (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
- (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

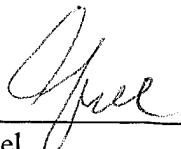
Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 3, 2002

Typed Name: Alan ISRAEL

Signature: _____



Alan Israel
Attorney/Agent for Applicant(s)
Reg. No. 27564

Date: January 3, 2002

Telephone No.: 212-697-3750

Complete if Known

Application Number	09/937,746
Filing Date	September 28, 2001
First Named Inventor	Charles Neville GLEW
Group Art Unit	
Examiner Name	

(use as many sheets as necessary)

Sheet	1	of	2	Attorney Docket Number	P/70017/Alstom
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[illegible][illegible]Date
Considered

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.